10538837 - 97644616



PATENT Customer No. 22,852 Attorney Docket No. 4676.0183-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Chikamasa YAMASHITA et al.) Group Art Unit: 1616
Application No.: 10/538,837) Examiner: Alstrum Acevedo, J.H
Filed: June 13, 2005	
For: NOVEL DRY POWDER INAHALATION SYSTEM FOR TRANSPULMONARY) Confirmation No.: 5653

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

English abstracts of the non-English documents are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: September 21, 2006

Charles E. Van Horn Reg. No. 40,266

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.H.A.A./

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known			
Application Number	10/538,837	133	<i>[8]</i>	
Filing Date	June 13, 2005	(B)		
First Named Inventor	Chikamasa YAMASH	IITA	MAEM	
Art Unit				
Examiner Name				
Attorney Docket Numb				

Examiner Cite Doc		Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where	
Initials	No.1	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

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Examiner Initials	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶	
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P-BBY: 1616

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(Use as many sheets as necessary)

Sheet

Complete if Known Application Number 10/538,837 June 13, 2005 Filing Date Chikamasa YAMASHIT First Named Inventor Art Unit Alstrum Acevedo, J.H. Examiner Name Attorney Docket Number 04676.0183-00

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·		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
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		P. Lucas et al., "Protein Deposition from Dry Powder Inhalers: Fine Particle Multiplets as Performance Modifiers," Pharmaceutical Research, Vol. 15, No. 4, pp. 562-69 (New York, Plenum Publishing Corp., 1998)	,
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INFORMATION DISCLOSURE			Filing Date	June 13, 2005	2000	<u>I</u>	
STATEMENT BY APPLICANT		First Named Inventor	Chikamasa YAMASH		₹/		
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	(Use as many sheets	as necessary)		Examiner Name	Alstrum Acevedo, J.H		
Sheet	3	of	3	Attorney Docket Number	04676.0183-00		

Examiner	/James Alstrum Acevedo/	Date	10/18/2008
Signature		Considered	·

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.